# **Short Communication**

# Building and implementing best practices for referral and management of severe asthma in Canadian healthcare

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# Abstract

**Background:** Despite adherence to maximal inhaled therapy, 5-10% of asthma patients in Canada still suffer from poorly controlled symptoms. These severe asthma patients have frequent exacerbations as well as increased morbidity and mortality, not only associated with the disease but in some cases the medications used to treat it. Asthma patients often rely on the use of short-acting beta-2 agonists and oral corticosteroids to manage their symptoms on a day-to-day basis. Overuse of these medications is problematic, as patients are at an increased risk of treatment related side effects. Overuse also suggests uncontrolled asthma which could be due to the presence of undiagnosed severe asthma. The objective of this commentary is to describe the asthma crisis in Canada, followed by consensus-based recommendations on best practices for its treatment and management, and their implementation.

**Consensus Recommendations:** Previous consensus-based research has highlighted areas where changes in care are most required. Core recommendations from this research aim to shift care to a more proactive approach, where healthcare providers think critically about patients whose asthma is not well controlled in addition to educating patients on their condition, and empowering them to seek care if their symptoms worsen.

**Implementing Change:** To successfully implement the proposed changes will require a concerted effort from all stakeholders. The core recommendations can be actioned at each level of the healthcare pathway, and include input from respiratory specialists (including respirologists, allergists, respiratory educators), nurses, pharmacists, general practitioners, and patients.

**Conclusions:** The core recommendations outlined can be easily implemented across the asthma and severe asthma treatment pathways in Canada. Taking a more proactive approach to severe asthma has the potential to improve patient outcomes through earlier diagnosis and treatment, whilst alleviating pressure on the health system.

Keywords: Asthma, Best practice, Biologics, Consultation and referral, Primary care, Health care, Canada

# Background

Severe asthma (SA) is asthma that remains uncontrolled despite patient adherence to mainteneance therapies and management of contributing factors, or asthma which worsens when high-dose treatments are reduced [1]. It is estimated that 5-10% of asthma patients in Canada have SA [2]. The condition is a significant burden on patients' quality of life [3], and is associated with increased number and severity of exacerbations, hospitalizations, and mortality [4]. The prevalence of other serious conditions such as dyspeptic disorders (e.g., gastric ulceration), mood disorders, bone loss, osteoporosis, cataracts, and chronic kidney disease is estimated to be 2-5 times higher in SA patients than those without SA, many of which can be attributed to SA treatment [5]. The economic burden of SA is also high, accounting for approximately 50% of all direct asthma-related costs [2], and the treatment of comorbidities accounts for more than half of the incremental costs of managing SA patients [5].

Asthma symptoms and exacerbations are often alleviated through the use of short acting beta-2 agonists (SABAs) and oral corticosteroids (OCS) [1,6]. However, the overuse of these medications

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can be associated with increased psychological and physical side effects [1,6]. Canadian cohort data from the global SABA in Asthma (SABINA) study showed that SABA overuse is substantial, with an increased annual rate of exacerbations compared to those who do not overuse SABAs [7]. Regular use of SABA and OCS therapies can also indicate that asthma symptoms are not controlled [8]. A pattern of regular use should trigger an asthma review, and if SA is suspected, a referral to a specialist for evaluation [2,8].

SA is a heterogeneous disease with multiple phenotypes, and the diagnostic process should include an assessment of phenotype through biomarker measurements and identification of key clinical characteristics. This will provide the opportunity for more personalised disease management through the use of targeted therapies [2]. Biologic therapies act on the pathways involved in the pathogenesis of asthma, and use of biologics is associated with a reduction in the use of OCS and risk of exacerbations, and improvements to quality of life [3,9]. However, it has been reported that while 14% of asthma patients in Canada were deemed candidates for a biologics, half of these (7%) were unable to start treatment due to lack of access [8]. Reimbursement for biologic therapies varies across provinces, with treatment availability dependent on the insurance company involved [9]. Despite growing calls for the use of these targeted therapies to treat SA, there still remains a lack of awareness of treatment options amongst physicians and patients [8,10].

It is critical that SA patients are identified and treated in a timely manner, with appropriate access to specialist services and treatments. To ensure patients' needs are met will require better implementation of current guidelines, with input and action from multiple stakeholders. An overview of this process is seen in **Figure 1**. Further to summarizing the difficulties faced by asthma care services in Canada, the objective of this commentary is to outline:

1. The consensus and vision for change in SA care that have been developed to date

2. How to successfully action and implement these innovations

# **ADVANCING ASTHMA** CARE Asthma Emergency Patients are suffering due to lack of diagnosis and targeted care **Insufficient Treatment** Overuse of SABA and OCS therapies does patients harm **Care Coalition** Stakeholders come together to discuss change in asthma care Vision of Change Expert consensus agrees on what needs to change and how **Actioning Change** Enable Clinicians, Nurses, and Pharmacists to make change **Innovation in Care**

Success leads to embedded change in asthma care

Figure 1. The process for implementing improvements to asthma care.

#### **Consensus Recommendations**

Consensus research published earlier in 2023 began to address the issues currently faced by healthcare practitioners and patients in diagnosing and managing severe asthma [11]. This research used a modified Delphi methodology to assess the level of consensus across healthcare practitioners working in relevant care areas. The Delphi methodology is used in healthcare research to provide real world evidence based on the opinions and practices of experts. It utilizes input from a wide range of specialists, guided by an independent facilitator, to understand problems, while providing the basis for actionable change [12]. Such methods have been used successfully across multiple therapeutic areas.

Initially a steering group (the authors) were formed, who discussed the issues facing treatment of severe asthma, assisted by an independent facilitator (Triducive Partners Limited). A series of 43 consensus statements were created, ratified anonymously, and then sent electronically to a wider number of experts. These included Certified Respiratory Educators (CREs), respirologists, allergists, general practitioners, nurses, pharmacists, and respiratory therapists. The group set the minimum threshold for consensus as 75%, a widely accepted level [13]. A total of 150 responses were received. The consensus for the statements was very high (>90%) in 37 (86%) of statements, and high (>75%) in 4 (9%) of statements [11]. Only 2 statements (5%) did not achieve consensus.

From the degree of consensus it is clear that there is support in Canada for achieving the highest level of severe asthma care. Based on the analysis of consensus levels for each statement, a series of recommendations for the improvement of care were created. These recommendations are presented below with the core recommendations in bold:

1. Primary care clinicians should pro-actively identify suspected severe asthma patients for optimization (including appropriate referral)

2. Pharmacy (either community- or hospital-based) should be utilized to help identify potential severe asthma patients

3. Asthma patients that have a history of overuse of SABA and/or repeat OCS therapies should be assessed for severe asthma

4. All patients should receive education about their asthma from an asthma educator

5. Access to diagnostic tools (including spirometry, lung function test etc.) within 4 weeks of request should be an expected standard within Canada

6. A consistent pathway for referral of suspected severe asthma patients should be in place across Canada with clearly defined criteria and acceptable waiting time

7. The choice of biologic therapy should be driven by disease phenotype, which is determined by clinical history, comorbidities, biomarkers, and spirometry

**8**. Initiation of a biologic therapy should be within 2–4 weeks of approval

9. National Pan-Canadian data collection about severe asthma should be established

10. Patients should be empowered to work together with their HCPs, through shared decision-making tools, to manage their symptoms and control their asthma

Recommendations were prioritized by the steering group in subsequent meetings. The group ratified these as being the 'most' important for target audiences, which if feasible, would provide the highest impact to improving asthma care. The first three core recommendations are aimed at changing the behaviour of healthcare practitioners (family doctors, pharmacists, nurses, respiratory therapists, ER doctors, specialist care practitioners) to a more pro-active approach in identifying at-risk patients. The final recommendation aims to ensure the inclusion of patients in their care, empowering them to seek out advanced care should they feel they meet criteria for severe asthma.

### **Implementing Change**

According to Kotter's method for leading change, the first step is to create urgency around the area in which change is required [14]. Building on this, a guiding team can be formed to help create a vision for change and communicate this to a wider audience [15]. These steps have already been fulfilled through the creation of the PRECISION group (a multidisciplinary global programme for improving SA care), the project steering group, and the undertaking of the Delphi study. They provide the basis for subsequent stages, which centre around removing obstacles which hinder change, creating short-term wins to sustain momentum [14,16]. These wins then create replicable best practice case studies which can be used to inform policy updates, embedding change into wider practice [15].

As has been noted in previous healthcare research, allowing change is not the same as implementing change [17]. Therefore, to help stakeholders incorporate proposed changes into their current practice, a series of thoughts and actions is suggested. These are presented in **Table 1**.

#### Conclusions

The underdiagnosis of severe asthma represents a significant health priority in Canada. It also represents a large financial burden on the health system. Identifying and treating these patients adequately with appropriate medications (biologics and other targeted therapeutics) will ensure their asthma is better managed, improving quality of life and reducing the number and severity of exacerbations.

The need for change is clear, and there is growing support to modify current practice and innovate the way severe asthma patients receive care. The next steps in achieving this will involve more proactive behaviours from all healthcare providers who manage SA to empower and educate their patients.

#### **Ethics Approval and Consent to Participate**

Not applicable

#### **Consent for Publication**

Not applicable.

#### **Availability of Data and Materials**

Not applicable.

Table 1. Beliefs and behaviors which should be enacted by each stakeholder to improve asthma care.		
2		
Stakeholder	Think	Do
Specialists	<ul> <li>≥ 2 OCS courses is not acceptable</li> <li>and/or</li> <li>≥ 3 SABA inhalers is not acceptable</li> </ul>	I should investigate further and consider severe asthma
	This may be severe asthma requiring a biologic	I should educate non-specialists to ensure they understand the signs of severe asthma
Family Physicians	Asthma patients may inappropriately rely on OCS and SABA	I should monitor my patients for the underuse and overuse of SABA and OCS
	There must be an alternative way to treat and gain better control	I should ensure my patients receive individualized asthma education
Pharmacy	Asthma patients may overuse their therapies	I should monitor ICS, OCS, and SABA use and alert the family physician or specialist of inappropriate use
	<ul> <li>≥ 2 OCS courses is not acceptable</li> <li>and/or</li> <li>≥ 3 SABA inhalers is not acceptable</li> </ul>	I should ensure patients recieve individualized asthma education
CRE	I have expertise that is beneficial and valued	I will educate patients to ensure their asthma is well- managed
	I need clear referral pathways	I will collaborate with family physicians and specialists to improve patient care
Patients	If I haven't received asthma education, I should seek more information	I should access educational asthma resources, preferably through a CRE
	l need to understand that asthma is a chronic disease, and l can do more to manage it	Through education, I can challenge my need for OCS

# **Competing Interests**

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# **Authors' Contributions**

All authors were involved in the initial Delphi study and contributed to the development of the manuscript.

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